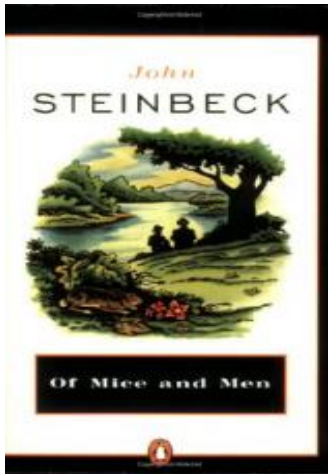


# Of Mice and Men

by John Steinbeck

---



## About the Book

When John Steinbeck accepted his Nobel Prize for Literature in 1962, he described the writer's obligation as "dredging up to the light our dark and dangerous dreams for the purpose of improvement." For some critics, that purpose has obscured Steinbeck's literary value. He has been characterized variously as an advocate of socialist-style solutions to the depredations of capitalism, a champion of individualism, a dabbler in sociobiology, and a naturalist.

While evidence for different political and philosophical stances may be culled from Steinbeck's writings, a reader who stops at this point misses some of the most interesting aspects of his work, including his use of paradox. "Men is supposed to think things out," insists Tom Joad in **The Grapes of Wrath**. "It ought to have some meaning" (p. 55). But in this epic novel, as well as in **Of Mice and Men** and **The Pearl**, Steinbeck seems to question whether the mysteries of human existence can ever be fully explained. In these works that span the grim decade from 1937 to 1947, Steinbeck urges the dispossessed to challenge a system that denies them both sustenance and dignity, and to seek the spiritual belonging that enables individuals to achieve their full humanity. So we have the paradox of the author apparently denouncing injustice while also exalting acceptance of the sorrows visited on humanity, whether those sorrows are wrought by nature or by humans themselves.

All three books examine the morality and necessity of actions the characters choose as they pursue their dreams. The poor fisherman Kino in **The Pearl** dreams of education for his son and salvation for his people. We first meet him in the dimness before dawn, listening to the sounds of his wife, Juana, at her chores, which merge in his mind with the ancestral Song of the Family. "In this gulf of uncertain light [where] there were more illusions than realities" (p. 19), the pearl that Kino finds lights the way to a more just world and the end of centuries of mistreatment by white colonizers. But the promise of wealth manifests the archetypal evil hidden in the community's unconscious, like the pearl that had lain hidden in its oyster at the bottom of the sea. As the dream turns dark, Kino descends into violence, bringing death to four men and ultimately to his own son. What other choices might he have made? This parable raises questions about our relationship to nature, the human need for spiritual connection, and the cost of resisting injustice.

Steinbeck's most controversial work, **The Grapes of Wrath**, raises similar questions. During the Dust Bowl Era, three generations of the Joad family set out on the road, seeking a decent life in fertile California and joining thousands of others bound by an experience that transforms them from "I" to "we" (p. 152). Cooperation springs up among them spontaneously, in sharp contrast with the ruthlessness of big business and the sad choices made by its victims, for whom "a fella got to eat" (p. 344) is a continual refrain. Casy, the preacher turned strike leader, wonders about the "one big soul ever'body's a part of" (p. 24).

On their journey to the promised land, the characters in **The Grapes of Wrath** confront enigmatic natural forces and dehumanizing social institutions. Casy is martyred as he takes a stand for farmers who have lost their land to drought and are brutally exploited as migrant laborers. His disciple Tom Joad, who served time for killing a man in a bar fight, ultimately kills another man he believes responsible for Casy's death. Tom's passionate conviction—expressed in his assertion that "wherever they's a fight so hungry people can eat, I'll be there" (p. 419)—stirs our sympathy; but his dilemma, like Kino's, requires us to ask whether taking a human life can ever be justified.

**The Grapes of Wrath** and **The Pearl** are also linked by their female characters and the questions they raise about gender roles and family identity. In **The Pearl**, Juana's "quality of woman, the reason, the caution, the sense of preservation, could cut through Kino's manness and save them all" (p. 59). Is this quality most responsible for the return of the pearl to the sea at the end of the novel? Like Juana, Ma Joad is "the citadel of the family" (p. 74). As the remnants of the Joad family seek refuge in a barn at the close of **The Grapes of Wrath**, Ma's daughter Rose of Sharon nurses a starving stranger with milk meant for her dead baby. This final scene of female nurturing offers a resolution while also disturbing our long-held ideas about family.

Steinbeck departs from this depiction of women in **Of Mice and Men**. Confined to her husband's home, and never given a name in the novel, Curley's wife functions almost as a force of nature, precipitating the events that wreck the men's "best laid schemes," as poet Robert Burns wrote. Whereas the women in **The Grapes of Wrath** and **The Pearl** suggest hope even in the bleakest of circumstances, Curley's wife leaves only shattered dreams in her wake.

**Of Mice and Men** tells a tightly compressed story set during the Great Depression. George and Lennie, drifters and friends in a landscape of loners, scrape by with odd jobs while dreaming of the time they'll "live on the fatta the lan" (p. 101). Lennie has a massive body and limited intelligence, and his unpredictable behavior casts George as his protector. The novel is peopled with outcasts—a black man, a cripple, a lonely woman. The terror of the consequences of infirmity and old age in an unresponsive world is underscored when a laborer's old dog is shot. Is Lennie's similar death at the hands of his protector, with his dream before his eyes, preferable to what the future holds for him? Nearly all the

characters share in some version of the dream, recited almost ritualistically, and in their narrow world it is pitifully small: "All kin's a vegetables in the garden, and if we want a little whisky we can sell a few eggs or something, or some milk. We'd jus' live there. We'd belong there" (p. 54).

The ending appears to be at odds with Steinbeck's explicit exhortations for social change in the other two novels. In **Of Mice and Men**, he seems to appeal to a higher form of wisdom in the character of Slim, who does not aspire to anything beyond the sphere he occupies. His "understanding beyond thought" (p. 31) echoes Rose of Sharon's mysterious smile at the end of **The Grapes of Wrath**.

From the questions his characters pose about what it means to be fully human, Steinbeck may be understood to charge literature with serving not only as a call to action, but as an expression and acceptance of paradox in our world. "There is something untranslatable about a book," he wrote. "It is itself?one of the very few authentic magics our species has created."

## **Discussion Guide**

1. Why does George "take so much trouble for another guy" (p. 21)?
2. Why does George shoot Lennie?
3. Why is the dream recited repeatedly?
4. What does Slim mean when he says, "A guy got to sometimes" (p. 102)?
5. Why does the book begin and end at the pond?
6. Why does Candy feel he should have shot his dog himself?
7. Is Curley's wife to blame for Lennie's death?
8. Why doesn't Slim share in the other men's dreams?
9. Why does Carlson get the last word?
10. What is the meaning of the book's title?

## **For Further Reflection**

1. Did migrant workers have any options for a better life?
2. Did George do the right thing by shooting Lennie?

## Author Bio

John Steinbeck was born in Salinas, and lived the early part of his life in Monterey County, California. It was here that Steinbeck developed a knowledge and love of the natural world and the diverse cultures that figure so prominently in his works.

In 1930, Steinbeck met marine naturalist Edward F. Ricketts, and a close friendship developed. During the early to mid 1930s, Steinbeck lived with his wife Carol in the family cottage on 11th Street in Pacific Grove. He produced works including *THE RED PONY*, *PASTURES OF HEAVEN*, *TORTILLA FLAT*, *IN DUBIOUS BATTLE*, and *OF MICE AND MEN*. They moved to Los Gatos in the later half of the decade. *THE GRAPES OF WRATH* earned Steinbeck a Pulitzer Prize.

In the 1940s, Steinbeck spent most of his time living in New York and traveling abroad. By then he was an internationally acclaimed author.

While he hobnobbed with the N.Y. elite, he wrote nostalgically of life on the Monterey waterfront. Steinbeck's old friend Ed Ricketts became the inspiration for "Doc" of *CANNERY ROW* and *SWEET THURSDAY*. Although Steinbeck wrote *CANNERY ROW* in N.Y., he returned briefly to Monterey in 1944 with his second wife, Gwen, and son Thom --- living in the Lara-Soto adobe on Pierce Street.

In 1948, after his second marriage ended and the death of his friend Ed Ricketts, he returned to the family cottage on 11th Street in Pacific Grove for several months.

In the 1960s, Steinbeck briefly passed through the area several times, once while working on *TRAVELS WITH CHARLEY*. In 1962, he was awarded the Nobel Prize for Literature.

On December 20, 1968, Steinbeck died in Sag Harbor, N.Y. His ashes were returned to California by his widow, Elaine and his younger son, John. The ashes rested for two nights in the P.G. cottage garden before being buried in the family plot in Salinas.

---

### **Of Mice and Men**

by John Steinbeck

**Publication Date:** September 1, 1993

**Paperback:** 112 pages

**Publisher:** Penguin (Non-Classics)

**ISBN-10:** 0140177396

**ISBN-13:** 9780749717100